

SECTOR /B

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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#### **Application Number** 09/900,494 TRANSMITTAL July 6, 2001 **Filing Date FORM** Michael Freed First Named Inventor Group Art Unit 2161 (to be used for all correspondence after initial filing) Unknown **Examiner Name** NEXSI-01112US0 Attorney Docket Number Total Number of Pages in This Submission ENCLOSURES (check all that apply) After Allowance Communication Assignment Papers (for an Application) Fee Transmittal Form to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Extension of Time Request Address identify below): Terminal Disclaimer **Declaration for Patent Application Express Abandonment Request** Request for Refund copy of Notice to File Missing Part postcard Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Larry E. Vierra, Esq., Vierra Magen Marcus Harmon & DeNiro LLP Individual name Signature September 27, 20 Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: September 27, 2001 Larry E. Typed or printed name September 27, 2001 Signature Date

Burden Hour Statement: This form is estimated to take 0/2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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# RANSMITTAL for FY 2001

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**TOTAL AMOUNT OF PAYMENT** 

(\$)	469	00

C mplete if Known				
Application Number	09/900,494			
Filing Date	July 6, 2001			
First Named Inventor	Michael Freed			
Examiner Name	Unknown			
Group Art Unit	2161			
Attorney Docket No.	NEXSI-01112US0			

METHOD OF PAYMENT	FEE CALCULATION (continued)					
1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES					
indicated fees and credit any overpayments to:  Deposit	Large Small					
Account Number 501826	Entity Entity Fee Fee Fee Fee Fee Description Fee Paid					
Deposit Vierra Magen Marcus	Code (\$) Code (\$)					
Name Harmon & DeNiro LLP	103 130 203 03 Suichaige - late liming fee of Oath					
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet					
Applicant claims small entity status.	139 130 139 130 Non-English specification					
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination					
2.   ✓ Payment Enclosed:  ✓ Check Credit card Money Order Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action					
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action					
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month					
Large Entity Small Entity	116 390 216 195 Extension for reply within second month					
Fee Fee Fee Fee Description	117 890 217 445 Extension for reply within third month					
0000 (4) 0000 (4)	118 1,390 218 695 Extension for reply within fourth month					
101 710 201 355 Utility filing fee \$355.00	128 1,890 228 945 Extension for reply within fifth month					
107 490 207 245 Plant filing fee	119 310 219 155 Notice of Appeal					
108 710 208 355 Reissue filing fee	120 310 220 155 Filling a brief in support of an appeal					
114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing					
·	138 1,510 138 1,510 Petition to institute a public use proceeding					
SUBTOTAL (1) (\$) 355.00	140 110 240 55 Petition to revive - unavoidable					
2. EXTRA CLAIM FEES Fee from	141 1,240 241 620 Petition to revive - unintentional					
Extra Claims below Fee Paid	142 1,240 242 620 Utility issue fee (or reissue)					
Total Claims 21 -20** = 1 x \$9 = \$9	143 440 243 220 Design issue fee					
Independent Claims 2 - 3** = 0 x = \$0	144 600 244 300 Plant issue fee					
Multiple Dependent	122 130 122 130 Petitions to the Commissioner					
	123 50 123 50 Processing fee under 37 CFR 1.17(q)					
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt					
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)					
102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))					
104 270 204 135 Multiple dependent claim, if not paid  109 80 209 40 ** Reissue independent claims over original patent	149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))					
110 18 210 9 ** Reissue claims in excess of 20	179 710 279 355 Request for Continued Examination (RCE)					
and over original patent	169 900 169 900 Request for expedited examination of a design application					
SUBTOTAL (2) (\$) 9.00	Other fee (specify)					
30B101A2 (2) (\$\) 105 00						
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (3) 103.00						

SUBMITTED BY Complete (if applicable)					applicable)	
Name (Print/Type)	Larry E. Vierra	./	Registration No. (Attorney/Agent)	33,809	Telephone	(415) 369-9660
Signature	Lame	Vien			Date	September 27, 2001

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/900,494

07/06/2001

Michael Freed

NEXSI-01112US0

28554 VIERRA MAGEN MARCUS HARMON & DENIRO LLP 685 MARKET STREET, SUITE 540 SAN FRANCISCO, CA 94105

**CONFIRMATION NO. 4136 FORMALITIES LETTER** 'OC000000006468058\*

Date Mailed: 08/24/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$9.
  - \$9 for 1 total claims over 20.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 429.

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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